

BMCH Coordinator Training

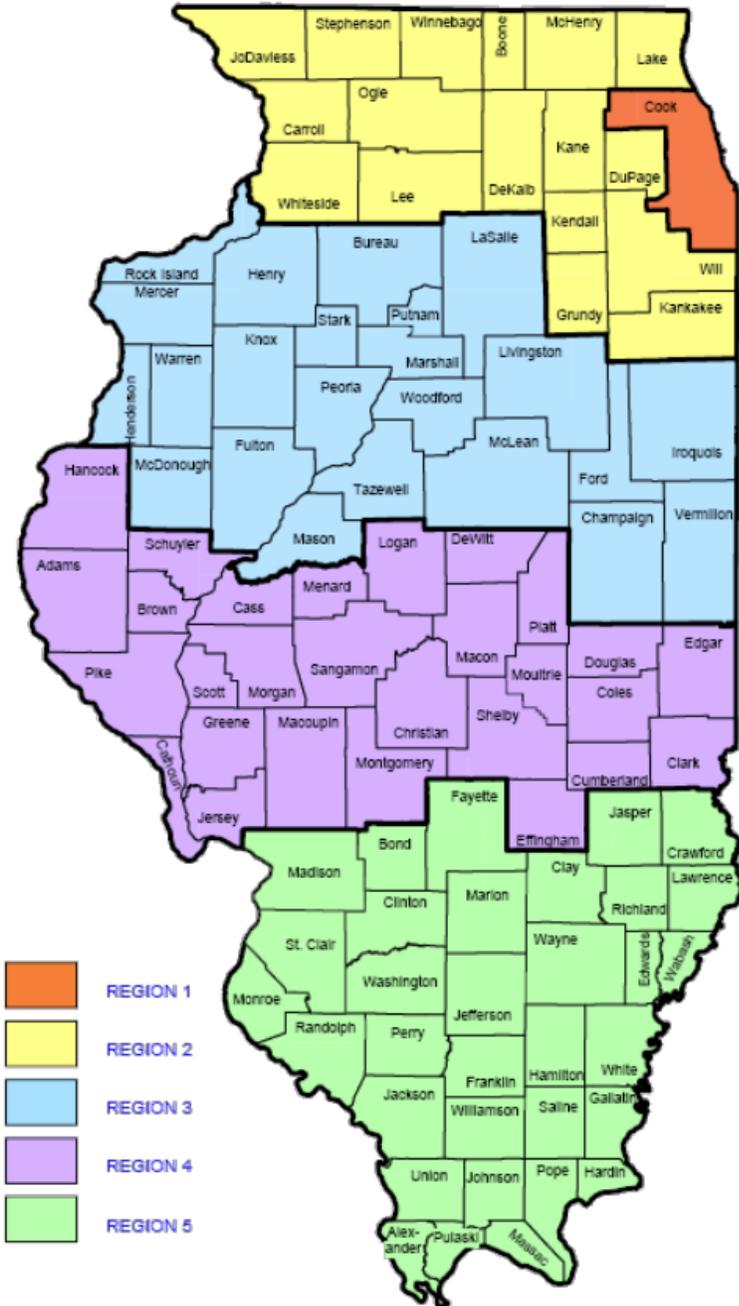
Welcome

TRAINERS

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Missy Harrison RN





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BMCH Staff

**Clinical
Program Staff
(RNC):**

**Administrative
Staff**

Program Staff

**Fiscal Program
Staff**

Support Staff

DHS Roles

CSSC (Community
Support Services
Consult)

Fiscal Staff

OCA (Office of
Contract
Administration)

Introduction to Programs

- **Why is there a need for BMCH?**
- The primary focus of the Bureau of Maternal and Child Health is to reduce **maternal and infant morbidity and mortality rates** through coordination with community-based programs such as Local Health Departments, Federally Qualified Health Centers, and Community Based Organizations.

The primary goals of these programs are to:

- Promote health through increased access to high quality medical care and prevention services
- Provide education and information to assist clients to achieve maximum self-sufficiency; link to services based on clients' needs through integration with other programs
- Strengthen communities by coordination and linkage of community and state resources to provide the clients with the best possible care offered



[ILCS FCM Act](#)

- Purpose of this Act- Provide wrap-around services targeted toward reducing the incidence of infant mortality, very low birthweight infants, and low birthweight infants within the State
- Family case management services are proven to be effective in improving the health of women and infants and lowering the incidence of infant morbidity and mortality
- Family case management improves the health and development of children and families by providing the earliest identification of their needs and promoting linkages to address those needs

Where can you find the things that you need to know???

[IDHS: Illinois Department of Human Services \(state.il.us\)](http://state.il.us)

Streamlined access to integrated services

[IDHS: Cornerstone Reference Material \(state.il.us\)](http://state.il.us)

Consent Forms

User Manual

[Community Health Training Center | Springfield Urban League \(springfieldul.org\)](http://springfieldul.org)

Training

Distance Learning

Resources

[BMCH Policy and Procedure Manual-4-19-21.pdf \(state.il.us\)](http://state.il.us)

Administration

Case Management Coordination

Family Case Management Program

High Risk Infant Follow-up

Better Birth Outcomes Program

Where can you find the things, you need to know Cont.....

How do you find your agencies caseload and funding assignment?

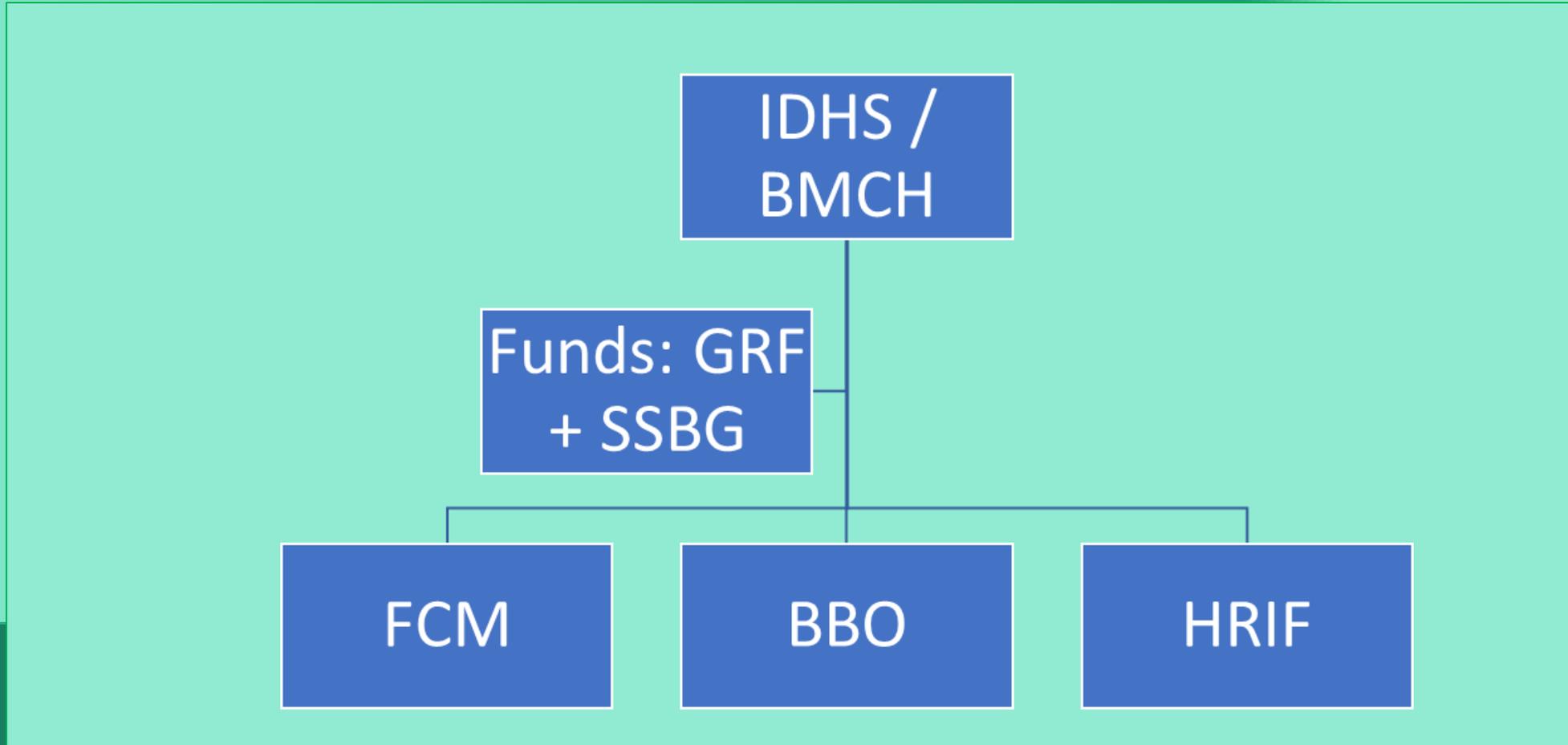
Call RNC or request Natalie Bullock send you a worksheet.

Know your Contract.....

Your contract will provide you with a lot of information that will be important such as: current and projected caseload, contract amount and expectations of the grant.

Meetings, Meetings and More Meetings (What does that mean?) As a coordinator there are always new things to learn, one of the easiest ways to learn those changes is to make sure you are involved in as many meetings as possible. It is an expectation of your position to attend as many meetings as possible and stay informed

Case Management Programs



Training For Case Managers (CM)

- ❖ Training provided by Springfield Urban League
- ❖ Within 3 months of working as a new Case Manager
- ❖ Any time as required by:
 - The RN Consultant
 - Your Program Coordinator

Family Case Management

- Family Case Management (FCM) is a statewide program that provides comprehensive service coordination to improve the health, social, educational, and developmental needs of pregnant & postpartum individuals and infants (0 – 12 months) from low-income families in the communities of Illinois (410 ILCS 212/15).

FCM

Client assignment to a case manager continuously.

Comprehensive needs assessments and development of individualized care plans.

Education on and screening for perinatal mood disorders and referral to services as appropriate.

Face-to-face contacts and home visits with all clients as prescribed in the current Program Policy Manual.

Objective developmental screening within the first 12 months of life utilizing current Illinois Department of Healthcare and Family Services Medicaid-approved screening tool.

Client referrals to other service providers in the community including primary care physicians and Medicaid managed care entities for service development and integration, to maximize care coordination.

High Risk Infant Follow-Up

- The High Risk Infant Follow Up Program (HRIF) is a statewide program for infants and children (ages 0- 2 years old) who are referred via the Illinois Department of Public Health (IDPH) Adverse Pregnancy Outcomes Reporting System (APORS) or based on assessments done in the FCM program which determines: that the infant has been diagnosed with a serious medical condition **after newborn discharge, when maternal alcohol or drug addiction has been diagnosed, or when child abuse or neglect has been indicated based on investigation by the Illinois Department of Children and Family Services** (See 410 ILCS 525/2 and 77 Ill. Adm. Code 840.210).

Primary Goals of HRIF:

Minimize	Promote	Teach	Decrease
Minimize Disability in high-risk infants by early identification of possible conditions requiring further evaluation, diagnosis and treatment	Promote optimal growth and development of infants	Teach family how to care for a high-risk infant	Decrease stress and potential for abuse in the family setting with high-risk infant

HRIF

Cont.

Services provided include but are not limited to:

- Completed needs assessment and develop an individualized care plan.
- Delivery of all services to high-risk infants in accordance with the provisions of the current Department's Program Policy Manual.
- Collaboration with other service providers in the community including primary care physicians and Medicaid managed care entities for service development and integration, and to maximize care coordination.
- Assurance that all birth mothers of enrolled high-risk infants are screened for and educated on perinatal mood disorders and referred to services as appropriate.
- Assurance that enrolled infants receive developmental screening within the first 12 months of life utilizing a standardized screening tool.
- Provision of home visits according to the Program Policy Manual.
- Any children in DCFS custody who are eligible for HRIF, receive HRIF, and are referred to HWIL once they are no longer eligible for HRIF.

HRIF

Cont.

To **summarize**, stress to your staff the importance of:

- **Paying attention to the timeline, document** an attempt to establish contact within 7 business days of the APORS report
- Documenting six (**806**) well child appointments in cornerstone
- Documenting six **Face to Face** visits on the SV02, the first F2F within 14 business days of the APORS report
- Documenting six **IMED** service entries on the SV02 (Immunization education)
- Make sure staff are **completing assessments and making referrals (RF01)** especially to **WIC (Within 45 days of enrollment)** and **Early Intervention** and completing **home visits** in accordance with the program policy manual
- **Care plans** goals on the CM02 and updating the CM03 quarterly

Better Birth Outcomes

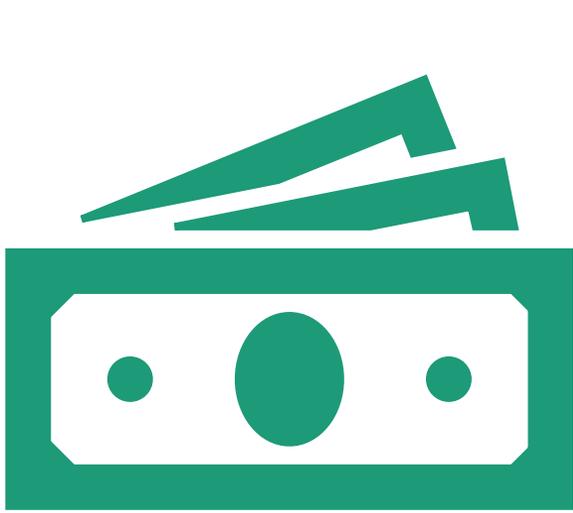
- BBO offers a standardized prenatal education curriculum that emphasizes the importance of regular prenatal medical visits; home visits each trimester active in the program; and monthly engagement with the BBO Case Manager for continued prenatal education, care coordination and communication with the client's prenatal medical provider.

BBO

(Better Birth Outcomes)

BBO Services are to be offered to individuals eligible according to the guidelines below:

- High risk as determined by the Department of Healthcare and Family Services Medicaid claims data indicating Medicaid individuals with a prior poor birth outcome
- High risk as determined by the presence of two or more risk factors as identified by the 707G Cornerstone assessment or one risk factor when approved by the RNC



Grants & Contracts

Complete the **Pre-Qualification** process by registering your organization with the State of Illinois

<https://www.dhs.state.il.us/page.aspx?item=85526>

Apply for FEIN/EIN (Federal Tax Id number)

Register with SAM.GOV (Systems for Award Management)

Sign up for Illinois.gov account

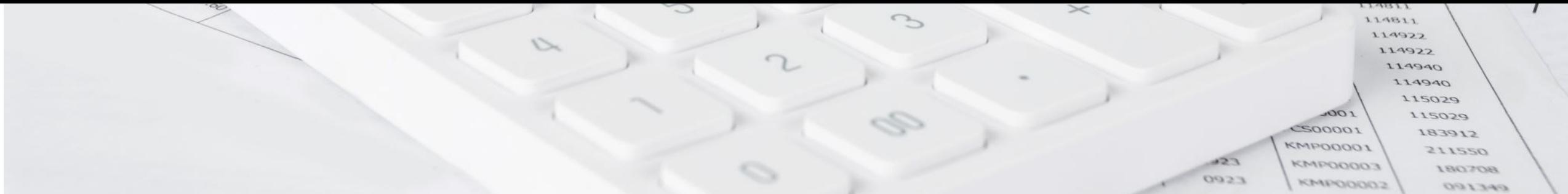
Create an Illinois GATA (Grant Accountability and Transparency Act) Grantee Portal Account

Complete the Internal Controls Questionnaire

GRANT OPPORTUNITIES

- What should you expect when registering GATA?? <https://gata.illinois.gov/>
- Register your Account, (utilize the timeline as a guide) [Timeline](#)
- Obtain FEIN/EIN number (Federal Identification Number)
- Sign up for the [Illinois.gov](https://illinois.gov) account
- Create your profile
- Watch for NOFO'S www.grants.illinois.gov
- Use Microsoft Edge

BUDGET



BUDGET [2CFR200](#)

PLAN

CONSULT

WORK WITH FISCAL

USE RESOURCES

BE FAMILIAR WITH
THE DIFFERENT
SYSTEMS CSA, CRV,
GATA PORTAL

BUDGET
APPROVAL/REVISIONS

RE-ASSESS BUDGET
QUARTERLY

Contract Agreement

(Published in CSA after approval)



A- Scope of Services



B- Deliverables



C- Payment Terms



Contract Agreement (Cont.)

E- Performance Measures

F-Performance Standards

G- Specific Conditions

System support defined in the contract

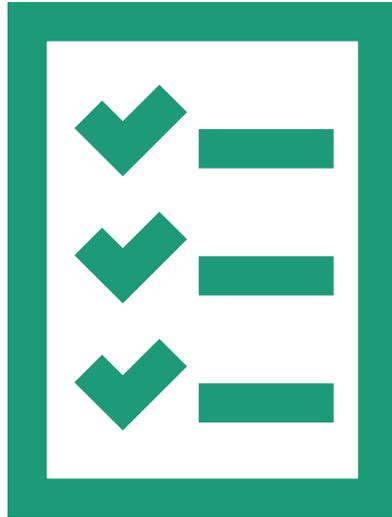
- Relationship with resources in the community and internal resources within the agency

Care Coordination

- Linking of services within your referral sources

Racial Equity Lens

- IDHS provides Illinois residents with streamlined access to integrated services, especially to those who are striving to achieve economic independence, and who face challenges to self-sufficiency. Ensures equitable access to social and human services. Part of Racial Equity Lens is collecting and analyzing information on who we are serving



INTERNAL CLINICAL AND PERFORMANCE MONITORING

Local Agency Policy and Procedure Manual

- Internal Policies of Agency
- Standing Order
- Protocols for CBO, FQHC and CHD abnormal findings
- **Approved methods of communication**
- Phone, text, social media (per Agency)
- **Time and attendance tracking per program**
- HSPRO723 Cornerstone report
- **Client Referral and Transfer Policy (Active Client)**
- RFO1 in cornerstone (referral)
- Make sure case note (CM04) included
- Referring agency needs to contact the agency they are referring them to
- Frequent transfers in agency can use request form

AGENCY POLICY & PROCEDURES

- All agencies must include specific steps to be followed for abnormal findings on Child Physical Assessments, Developmental Screenings, and Depression Screenings. Agencies with a medical director must reflect these steps in their current standing orders in alignment with standard practices.

xxxxxxx County Health Department
STANDING ORDER: Edinburgh

Policy:

A postnatal depression screening using the Edinburgh Postnatal Depression Scale will be administered to pregnant and postpartum participant.

Family Case Management (FCM) Better Birth Outcomes (BBO) will administer the Edinburgh screening tool per program requirements.

Order for Edinburgh: The Edinburgh developmental screening tool will be administered to the above categories by trained xxxxx County Health Department staff per program requirements

Referral: When needed the participant will be referred to appropriate agency for counseling. Documentation of the referral and follow up will be entered in data system for the program the client is enrolled in.

Signature: _____

Date: _____

- **Approved methods of communication with client**
- **Phone**
- **Text**
- **Social media**





Updating Policies



When does a policy need to be updated?



When something involving the policy is modified or changed in anyway



Annually



If there are no changes to the policy, sign and date



- **Document time and activity spent by each employee on each grant**
- **Documentation must at minimum contain the following information:**
 - **Identification of the staff person**
 - **The date on which the activity was conducted**
 - **Activity Type – At a minimum, categories must identify case management; outreach; administration of outreach and case management; accrued benefit time; and other direct services, as follows:**
 - a. **Time Spent – The amount of time spent on each activity**
 - b. **Program – (FCM, HRIF, and BBO)**

Time and Activity Cornerstone Report (HSPRO723)

- **Client Referrals**

- **RF01**



- **CLIENT TRANSFER POLICY :**

- **Statewide lookup required in Cornerstone:** (Areas with multiple agencies providing similar services you are required to look up parent and child)
- Clients may only be enrolled in services at one agency at a time
- Follow transfer policy to ensure continuity of care for clients, and each client is receiving the most appropriate service based on their individual needs.
- Every agency is expected to follow the policy as it is written unless prior approval has been given and documented by the BMCH Nurse Consultant

When can you request a transfer?

- Client's problem requires expertise for case management that staff does not possess at current agency
- Family moves closer to another agency
- The client prefers to obtain case management services from another agency
- The parent requests a transfer (must document in the CM04)

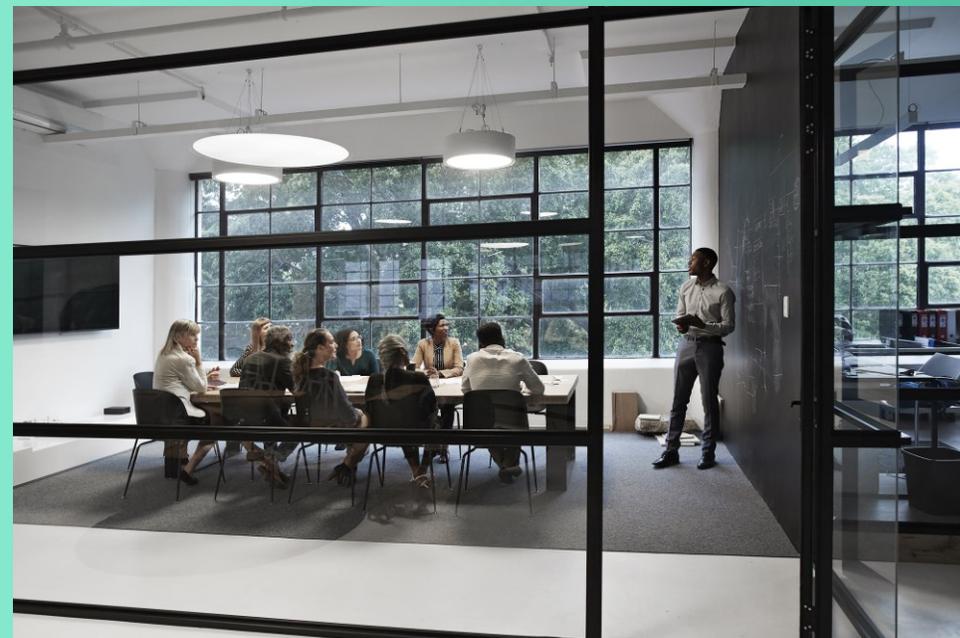
How do you complete the transfer?

- Transfer Request Form needs to be completed and maintained in the client record at both agencies (Per agency)
*This is not a requirement through BMCH.

Quality Assurance

- All agencies contracted with the DHS BMCH are expected to maintain an internal Agency Policy & Procedure Manual that aligns with DHS Policy and Procedures and contract guidelines. Must include:

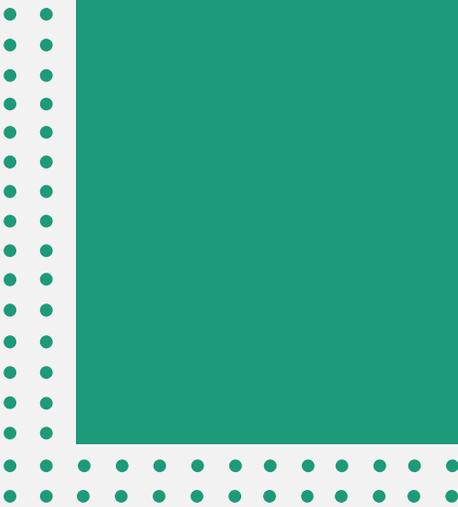
- Specific steps to be followed for abnormal findings on Child Physical Assessments, Developmental Screenings and Depressions Screenings
- Quarterly communication from the Department in which quarterly performance data and trends are highlighted
- Biennial programmatic clinical reviews as directed by the Clinical & Chart evaluation tools, including chart audits
- When a review contains a finding the of noncompliance the Department will require the provider to submit a CAP (Corrective Action Plan) , failure to comply may result in grant suspension or termination





QUALITY ASSURANCE

- [IDHS: Quality Assurance Review Tools \(state.il.us\)](https://www.state.il.us)
- Chart Review Tools (lists all service entries, assessments & Medical Screens) in PDF Format
- Clinical Review Tools for all programs in a PDF Format
- Chart Review and Clinical Review Tools will be provided to contract agencies by the Department at the beginning of the 2nd quarter of each fiscal year. These will be sent to the Local Agency Administrator and the Program Coordinator of each agency and can be requested from the BMCH Nurse Consultant at any time



Process for the Program Review

- **Frequency**
- **Components**
- **Timeline**
- **How to prepare**
- **Post-Review steps**
- **Common review findings**

Family Case Management Chart Review Tool – FY24 Performance

Agency Name		Site/Clinic ID:		X = Present O = Absent NA = Not Applicable
MCH Nurse Consultant				
Chart Review Date		Site Review Date		

Cornerstone ID Number								Total	
Client Type (P, I, D)								X	O
DOB / Age of Client									
All Clients									
PA02	Assigned Case Manager								
PA03	Primary Care Provider								
PA06	Infant grouped with Caregiver								
PA15	Enrollment Date & History (F8)								
Pregnant									
Medical Screens	PA07 Initial Prenatal	EDC Date							
		Month Prenatal care began							
		# of Prenatal medical visits prior to enrollment							
Assessments: AS01 Completed within 45 calendar days of enrollment	701: Other Service Barrier								
	711: Prenatal Risk Assessment								
Service Entry: SV01	802: Prenatal Care								
	825: Depression Screening ≥ 20 weeks gestation								
	940: Postpartum Depression Brochure								
	941: Reproductive Well Being for prenatal								
Activity Entry: SV02	Face-to-Face (1 per trimester active) with topic included in CM04								

Illinois Department of Human Services - Bureau of Maternal Child Health
 FAMILY CASE MANAGEMENT - SFY2024 CLINICAL PERFORMANCE
 SUMMARY

Agency _____
 DHS Review Staff _____
 Agency Contact _____

	<u>Sites Reviewed</u>	<u>Date(s)</u>
1)	_____	_____
2)	_____	_____
3)	_____	_____

				<u>Corrective Actions</u>	
Total FCM Clinic Sites administered by this agency	_____		<u>Performance</u>		0
# of clinic sites being reviewed during this evaluation	_____		<u>Chart Audit</u>		0
			<u>Agency Evaluation</u>		0
			TOTAL		<u>0</u>

Staff present at Intake / Exit interview _____

Method used to select random sample _____

Service Delivery Model _____

Agency Staffing Patterns & Changes _____

Barriers to Program Delivery _____

Other Issues _____

Agency evaluation/ performance review schedule

Quarterly communication and quarterly performance data will be highlighted.

Scheduled and any technical assistance to improve barriers to service delivery.

Biennial clinical reviews by using FY24 tools

If noncompliance will receive a (CAP) Corrective Action Plan

DHS will monitor the CAP when completed will receive in writing that it has been resolved.

If failure to comply to CAP will be lost of funds or suspensions to grant and programs and even possible termination.

* Clinical Review tools will be sent out yearly beginning the 2nd quarter of each fiscal year.

Staffing

Family Case Management (FCM)

Registered professional nurse licensed pursuant to Section 12 of the Nurse Practice Act and two years' experience in community health or maternal and child health nursing

Bachelor of Science in Nursing (B.S.N.) degree from a recognized or accredited program and one year of experience in community health or maternal and child health nursing

Supervision by a registered professional nurse, licensed social worker, or licensed clinical social worker with the length of experience described herein, until the case manager obtains the length of experience required

Staffing Continued...

Licensed Clinical Social Worker or Licensed Social Worker with one year of experience in providing direct services to families with young children in a professional setting

Supervision by a registered professional nurse, licensed social worker, or licensed clinical social worker with the length of experience described herein until the case manager obtains the length of experience required

Master's Degree or Baccalaureate degree in a Behavioral Science, Social Science, or health-related area; or a baccalaureate degree in any other area and one year of experience in providing direct professional child, family, or community services; or an associate degree and two years' experience in providing direct professional child, family, or community services. Case managers meeting only this qualification must be supervised by an appropriate case manager until they have a total of two years of supervised case management experience

Staffing...

HRIF

High Risk Infant Follow-up (HRIF)

The case manager must meet one of the following qualifications:

Registered professional nurse licensed pursuant to Section 12 of the Nurse Practice Act [225 ILCS 65] and: a. two years' experience in community health or maternal and child health nursing

Bachelor of Science in Nursing (B.S.N.) degree from a recognized or accredited program and one year of experience in community health or maternal and child health nursing

Supervision by a registered professional nurse, licensed social worker, or licensed clinical social worker with the length of experience described until the case manager obtains the length of experience required

Staffing...

BBO

Better Birth Outcomes (BBO)

Registered Nurse (RN)

Master's Degree Social Worker (MSW)

Master's Degree in Counseling Psychology

Licensed Professional Counselor (LPC)

Licensed Clinical Professional Counselor (LCPC)

Master's Degree in Human Services and Counseling
with specialization in counseling

Master's Degree in Public Health (MPH) with
specialization in public health nursing, women's
health, or reproductive health

Approval by the Department is required for other
credentials not indicated in the qualifications above

Other agency-required training for MCH

- Customer Service
- Communication and Motivational Interviewing
- Clinical Competencies and Skills
- Observation of CM with participant
- Open ended questions
- It gets CM ready for when the RNC observe.





***Inform DHS ASAP with any staffing changes/shortage**



or in case of extended clinic closures



***Make Sure CM are reassigned to the client with in 30 days after an Employee leaves or is terminated so that services aren't interrupted**

Leadership

Ways to attract and retain valuable employees

How to develop SMART goals:

- Ways to motivate staff to achieve goal
- Ways to recognize staff for achieving goals
- How to develop improvement plan if staff are not meeting goals





Ways to Attract Valuable Employees

- FLEXIBILITY
- Financial Benefits
- Room For Advancement
- Positive Work Environment
- Health And Wellness
(Insurance, Gym, Etc.)

How do you build a team?





What kind of leader are you?

- Time Management:
- -Staffing shortages, “wearing many hats” schedules
- How to lead effectively:
- -1:1 staff check-ins, how to have difficult conversations
- -How to lead staff meetings, in-service trainings, sharing updates

5

Types of Leadership Styles



1

Laissez-Faire

A laissez-faire leader lacks direct supervision of employees and fails to provide regular feedback to those under his supervision. Highly experienced and trained employees requiring little supervision fall under the laissez-faire leadership style.

2

Autocratic

The autocratic leadership style allows managers to make decisions alone without the input of others. Managers possess total authority and impose their will on employees.

3

Participative

Often called the democratic leadership style, participative leadership values the input of team members and peers, but the responsibility of making the final decision rests with the participative leader.

4

Transactional

Managers using the transactional leadership style receive certain tasks to perform and provide rewards or punishments to team members based on performance results. Employees receive rewards, such as bonuses, when they accomplish goals.

5

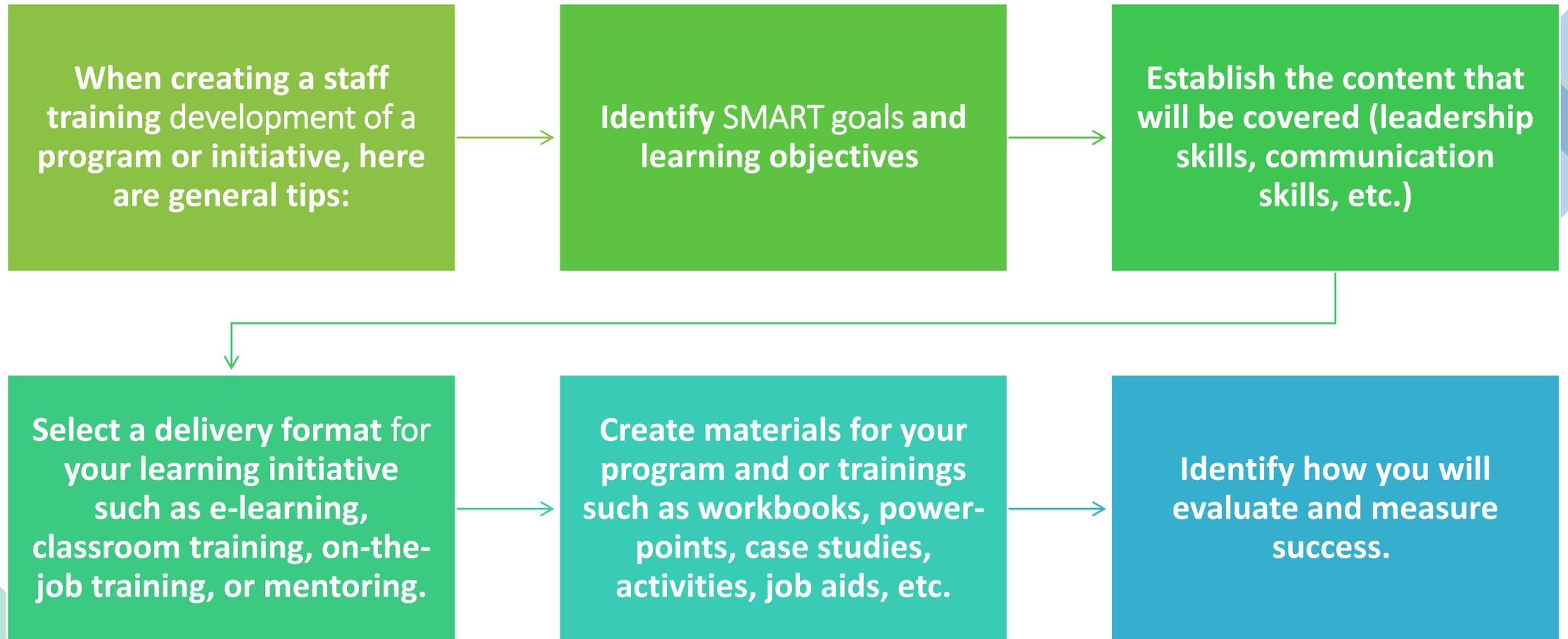
Transformational

The transformational leadership style depends on high levels of communication from management to meet goals. Leaders focus on the big picture within an organization and delegate smaller tasks to the team to accomplish goals.

Build Trust

- Be Consistent
- Reliability
- Accountability
- Non-Judgmental
- Good Eye Contact





MY SMART GOAL

MY GOAL IS:

I WILL TRACK MY GOAL BY:

TO MAKE MY GOAL HAPPEN I WILL:

THIS GOAL IS IMPORTANT TO ME BECAUSE:

I WILL KNOW I HAVE ACHIEVED MY GOAL WHEN:

I WILL COMPLETE MY GOAL BY:

Specific:

- Does your goal clearly and specifically state what you are trying to achieve?
- If too large, try breaking it down.

Measurable:

- How will you and others know if progress is being made on achieving your goal?
- Can you quantify or put numbers to your outcome?

Attainable:

- Is achieving your goal dependent on anyone else?
- Is it possible to reframe your goal so it only depends on you and not others?
- What factors may prevent you from accomplishing your goal?

Relevant:

- Why is achieving this goal important to you?

Timely:

- When will you reach your goal?
- What is your deadline? Hold yourself accountable.

10 Best Goals for Managers

1. Hold regular one-to-one meetings with my staff
2. Work on my active listening skills to be more receptive to my staff
3. Work on providing constructive feedback to my staff at the next performance review meetings
4. Improve my presentation skills to make meetings more engaging and interactive
5. Improve my organizational skills by creating a better filing system
6. Implement an open-door policy to be more available to my staff
7. Change the workplace culture by moving to an open office layout in the office
8. Check emails only twice (morning and afternoon) to improve my time management
9. Rewrite the standard operating procedures so they are clearer and meet the needs of staff
10. Change how meetings are run to make them more productive and open to staff comment

What are some things that supervisors can do to reach their goals?

Employees need the right motivation to complete quality work, and supervisors should be able to offer the right types of support. Good supervisors will do this by recognizing the achievements and contributions of their team members, thanking them directly, and finding creative ways to help make their jobs more rewarding



Communication Activities



Communication

- Maintain an open line of communication
- Be a good listener
- Be respectful of other's opinions
- Establish clear goals



Ways to retain valuable employees!

- **1. Starts at the leadership level**
- **2. Listen and provide feedback**
- **3. Follow through with questions**
- **4. Meet often with your staff**
- **5. Micromanagement stifles growth & creativity**





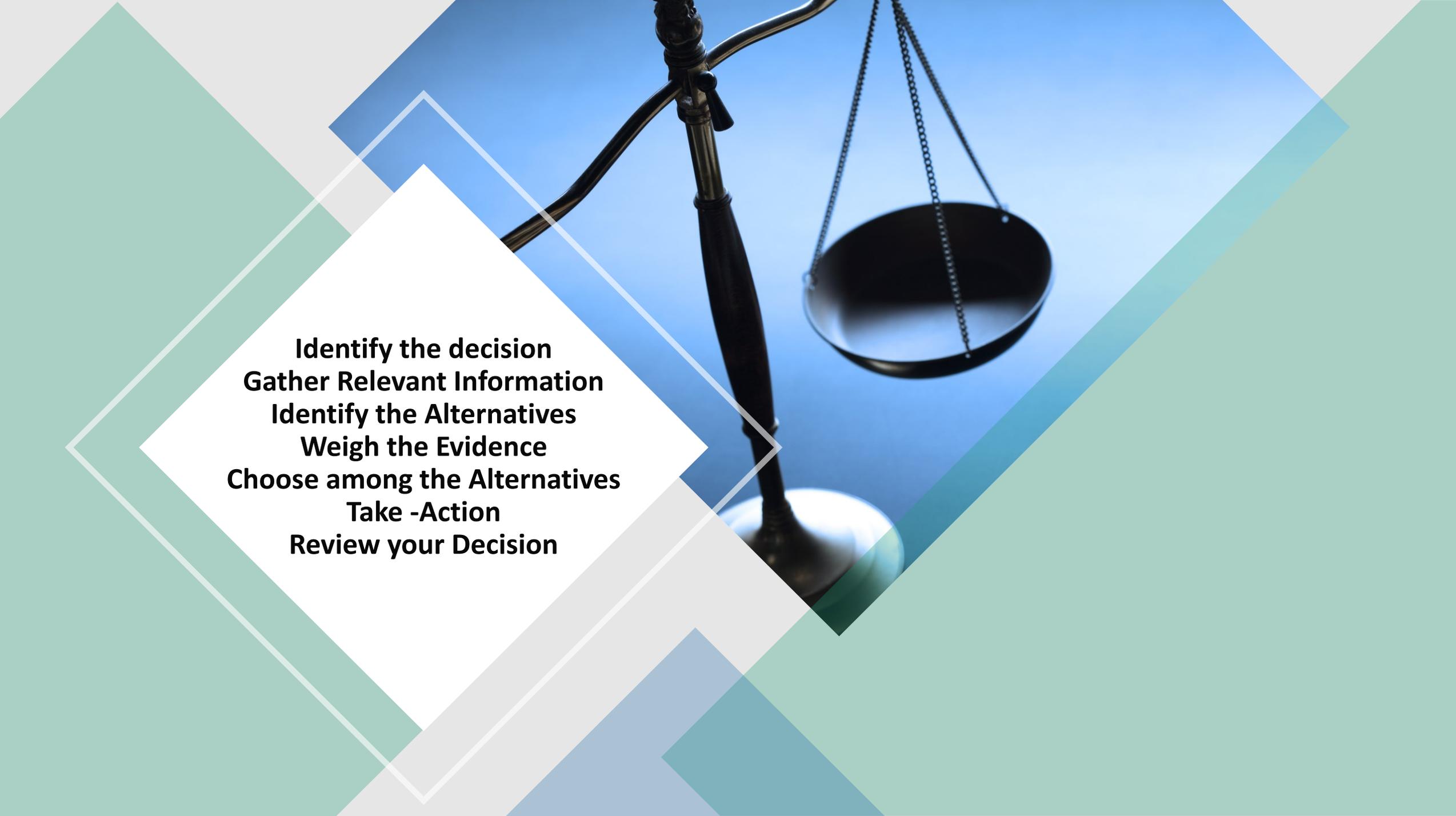
Problem Solving and Decision Making

PLEASE.....PASS
THE PROBLEM

Problem.....

Solutions.....





Identify the decision
Gather Relevant Information
Identify the Alternatives
Weigh the Evidence
Choose among the Alternatives
Take -Action
Review your Decision



Adaptability and Planning Activities

Adaptability



Flexibility



Positive Attitude



Be Resourceful



Determination

Planning Activities

Scavenger
Hunt

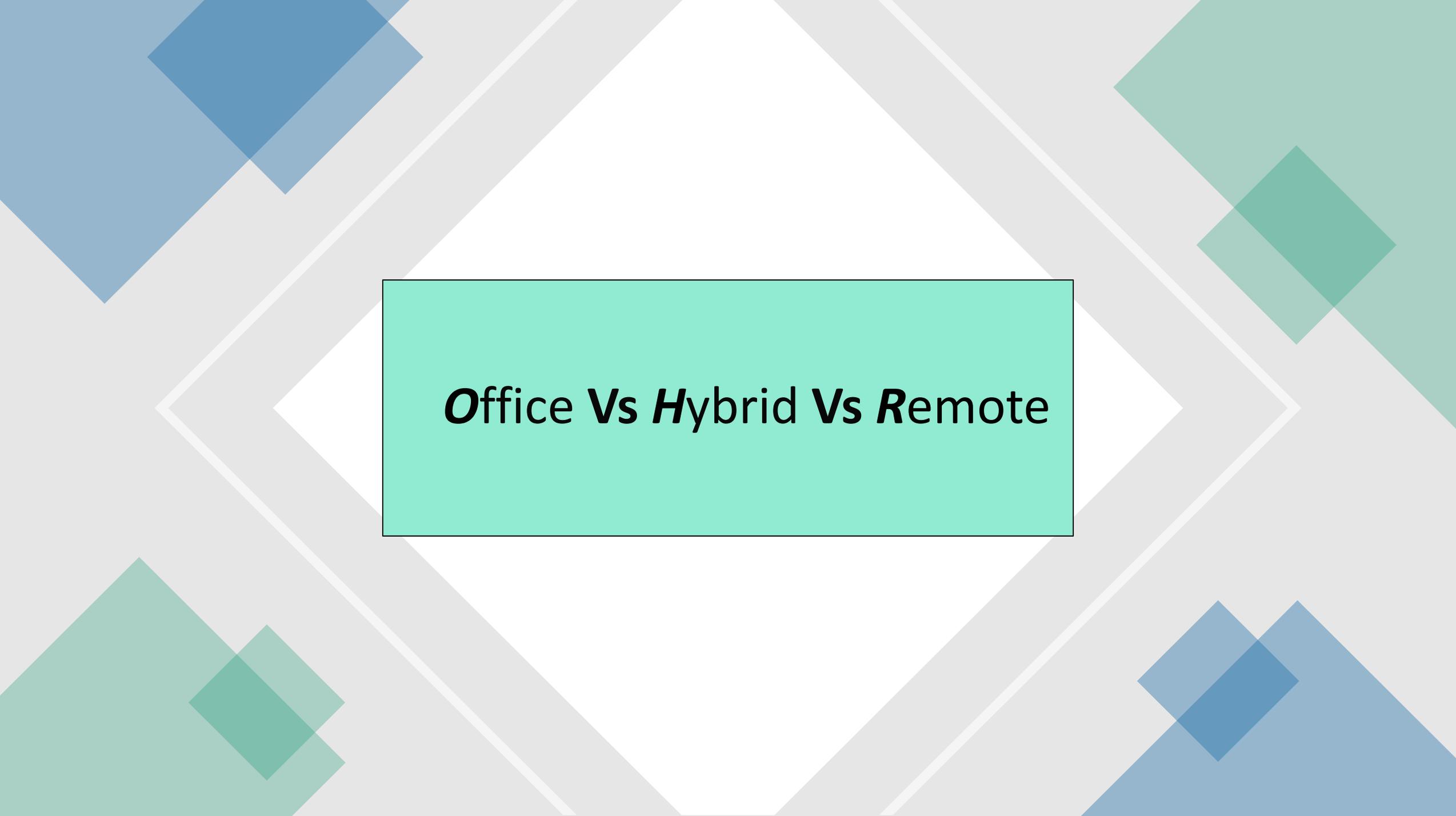
Cook-Off

Office
Trivia

Retreats

Ice
Breakers

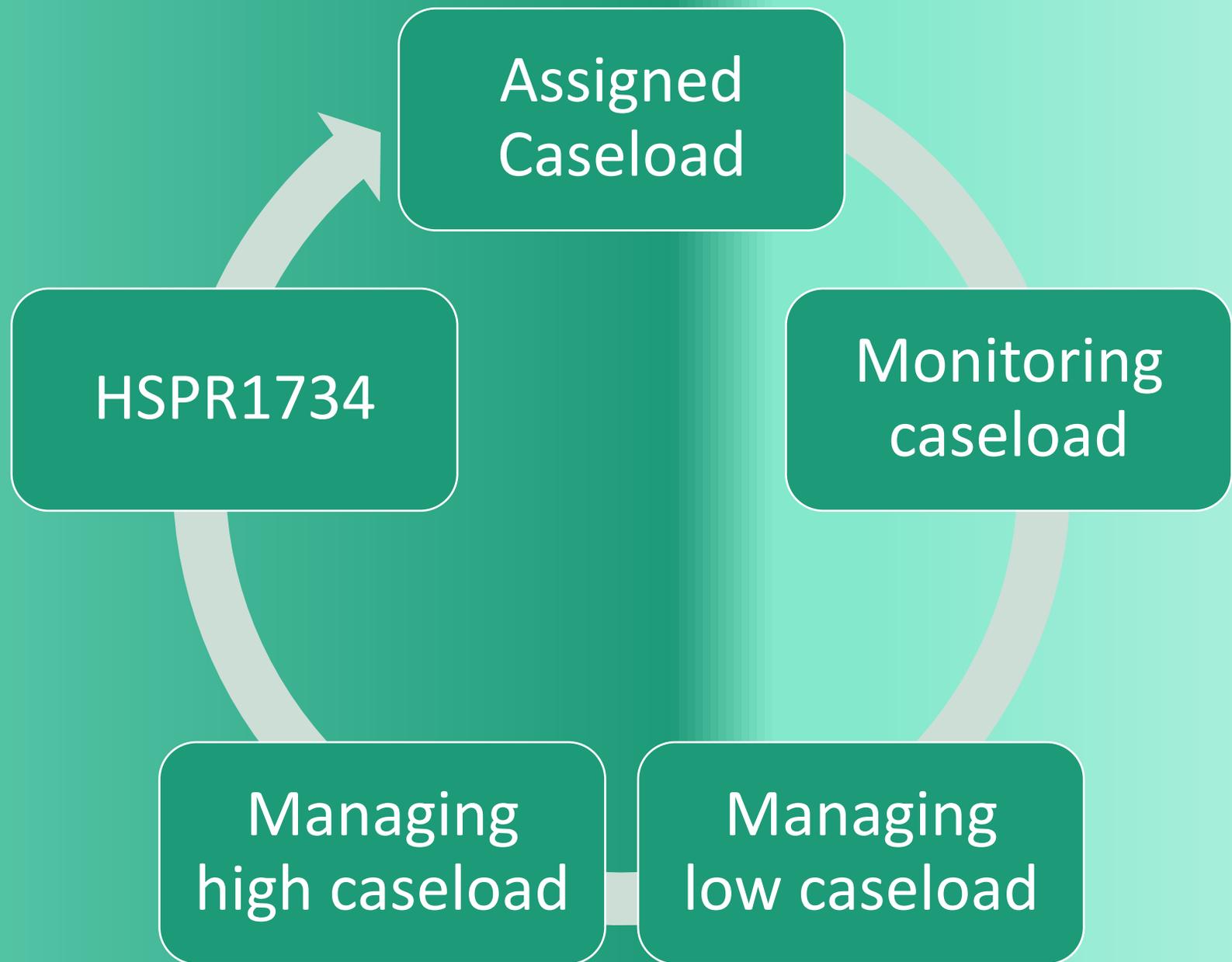
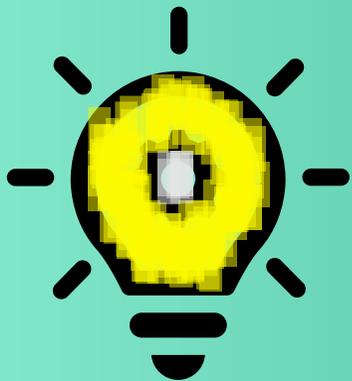
Pictionary



Office Vs Hybrid Vs Remote

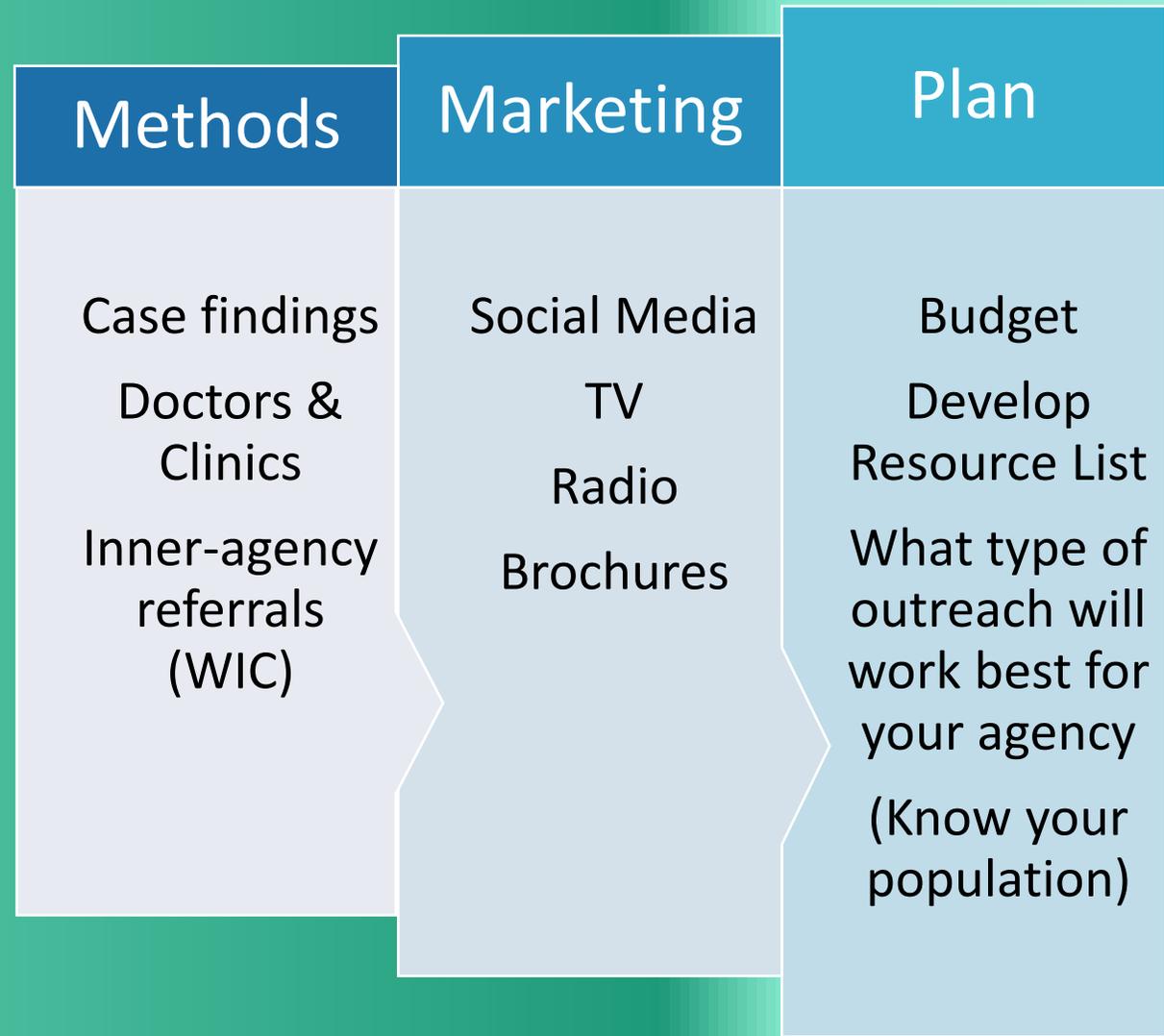
Caseload Management

How do you assign case manager?



How would you define Outreach??

Outreach: Any activity to find and inform potential program clients of available services.



How do you Outreach?..... Reach Out!

- Tell us about your outreach.
- How do you develop an outreach plan?
- When do you need to reach out?
- When would you submit plan to RNC?
- How to budget for outreach?? What is allowable?

FI\$CAL Monitoring

Code of Federal Regulations (2CF200)
Fiscal Reporting/ reviews

Financial Documentation and Grant Payments

- **Timeline for Submission**

What is a Fiscal Program Review?

- **Annual Review of Allowable & Unallowable Costs & documentation**

EDF

- **Expenditure Documentation Form**

PFR

- **Periodic Fiscal Reporting Quarterly**

Allowed VS Unallowed

Allowable Costs

- Staff Salary
- Program Related Travel Cost
- Office and Copy Equipment
- Office Supplies (Items costing less than \$100 each)
- Rent or Lease/Utility/Insurance
- Phones
- Outreach (prospective Clients)
- Transportation for MCH Participants (Established Clients only)

Unallowable Costs

- Employee Recognition
- Exam Tables
- Any Supplies for Well-Child Exams
- Billing Services
- Food or Incentives



PRIOR APPROVAL PURCHASE ITEMS

- Costs allowable with prior approval from the Department:
 - Rental space costs - new sites / locations
 - Any computer software purchases, such as: word processing, spreadsheet, database, email, presentation, or anti-virus applications
 - Any computer equipment purchases, such as: personal computers, monitors, printers, and modems, regardless of cost
 - Any items costing more than \$5,000
 - Purchase of capital assets, such as: buildings, land, and improvements to buildings or land that materially increase their value or useful life and cost more than \$5,000



- All requests for prior approval must be in writing on Local Agency letterhead from the agency to the Department via the Administrative Contract Coordinator. The request must include:

- Item Description
- Model Number/Serial Number
- Unit Cost
- Justification for Purchase
- Percentage of time the product will be used for each program
- Number of Program Full Time Equivalents present in the Local Agency



MONTHLY GRANT INVOICE

(a) Grantee Name:		(b) Program Name:		(c) Contract No.:	(d) CSFA	(e) FEIN	(f) Date Prepared
(g) Agreement Period thru		(h) Invoice Period thru		(i) IDHS Fiscal Yr.	(j) Final Invoice for Award Period <input type="checkbox"/>	(k) No changes from prior reporting period and/or No new expenses <input type="checkbox"/>	
(l) Invoice Amount:		(m) Indirect Cost Rate: %	(n) Approved Indirect Cost Base:		(o) Approved Indirect Cost Base Amount:		
(p) Fixed Rate Grant (FRG) Yes <input type="checkbox"/> No <input type="checkbox"/>		(q) FRG Rate: \$:	(r) FRG Units Current Period:		(s) FRG Units Cumulative Award:		
(t) Program Restrictions Yes <input type="checkbox"/> No <input type="checkbox"/>		(u) List of Restrictions:					
(v) Mandatory Match %: Yes <input type="checkbox"/> % No <input type="checkbox"/>		(w) Specify Match:					
(x) Program Income (Award to Date):		(y) Program Income (In current reporting period):		(z) Interest earned (Award to Date)		(aa) Interest earned (In current reporting period):	

(bb) Category/Program Expenses	(cc) Current Approved Budget			(dd) Grant Expenditures				(ee) GRANTEE MATCH			(ff) Total Match (Award to Date)
	Approved Budget	Remaining Balance Available	Expend %	Current Period Grant Expense	Prior Approved Grant Expenses	Grant Expense Adjustment	Post-Adjustment Grant Expenses (award to date)	Current Cash Match	Current In-kind Match	Prior Period Cash and In-kind Match	
1. Personnel			0.00								
2. Fringe Benefits			0.00								
3. Travel			0.00								
4. Equipment			0.00								
5. Supplies			0.00								
6. Contractual Services/Subawards			0.00								
7. Consultant (Professional Services)			0.00								
8. Construction			0.00								
9. Occupancy (Rent & Utilities)			0.00								
10. Research & Development			0.00								
11. Telecommunications			0.00								
12. Training & Education			0.00								
13. Direct Administrative Costs			0.00								
14. Other or Miscellaneous			0.00								
15. Grant Exclusive Line Item(s)			0.00								
(gg) TOTAL DIRECT EXPENSES			0.00								
(hh) Indirect Costs			0.00								
(ii) TOTAL EXPENDITURES			0.00								



EDF / PFR

- 
- Expenditure Documentation Form
 - Periodic Fiscal Reporting
- 

- Monthly and quarterly Expenditure documentation review - Our BMCH program staff (fiscal/grants side - Monalisa/Travis) review each month's EDF to ensure costs are in alignment with budget, caseload, etc. They review routinely any expenses for Grant Exclusives line item, otherwise only review other specific lines as needed, but can ask to review backup docs at any time.

Tips for budget items

- The State Fiscal year runs from July 1st through June 30th
- If you forget something or need to correct something, add to justification
- Always remember to complete fully, print and sign
- Save form to excel
- Never cut and paste, it interferes with the calculator
- On the PFR(Periodic Fiscal Reporting), Under performance accomplishment, remember to always check one of the two performance boxes
- [Send an email to: DHS.BMCHEDF@Illinois.gov](mailto:DHS.BMCHEDF@Illinois.gov) and in the subject line put the quarter and the provider's name or fax to 217-588-9548

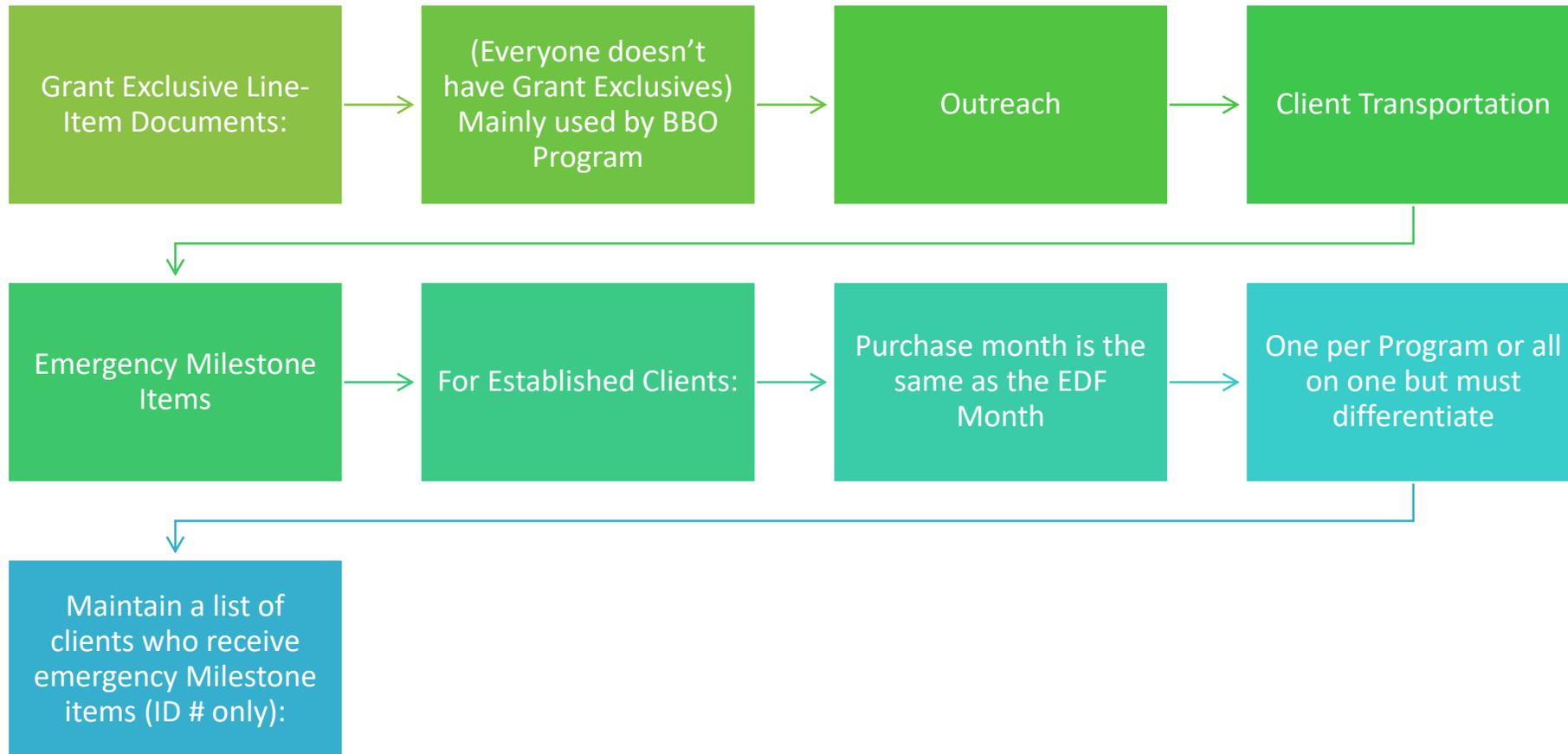


Supplemental Documentation

Supporting documentation must be available to the Department upon request including, but not limited to:

- Receipts from a vendor.
- Invoices.
- Electronic order confirmation from a vendor.
- QuickBooks invoices.
- Back-up documentation.
- Monthly narratives.

Distribution Process



Personnel Records

One of the most common findings that BCSS and OCA reviewers encounter when doing the Fiscal Administrative Review is the lack of complete employee files. Many are missing applications or resumes, job descriptions, annual evaluations and/or conflict of interest statements.

Application/Resume

While reviewing personnel files, the FAR staff are looking to see if there is a completed job application, or a resume with cover letter, showing the employee's application for the job.

Job Description

Each personnel file should contain a description of the job outlining the duties and expectations of the employee.

Conflict of Interest Statement

Grantees for Federal, Federal Pass-through and State grants must disclose any conflicts of interest or apparent conflicts of interest which may impair the fairness and impartiality of the grant process. Employees and board members of the organization must disclose any conflicts of interest. For more information see [Mandatory Disclosures](#) and [Conflict of Interest](#) from 2 CFR 200. Further references can be found in Article XXI of the Uniform Grant Agreement.

[18 U.S.C. 208, GATA 30 ILCS 708/35, 708/40 and 708/60, 2 CFR 200.112 and 31](#)

Employee Evaluations

Most smaller providers especially, do not conduct employee evaluations and have no documentation showing they have done any type of annual employee review. Employee evaluations do not have to be complicated. They can be as simple as a memo in the employee's file stating accomplishments, areas for improvement, objectives for the next year, salary increases, etc. This document should be dated and signed by both the employee and the supervisor. There are several websites that give basic information on doing an employee evaluation. Below are just a couple of examples of websites that may assist providers with conducting an annual employee evaluation.

[How to Write Employee Evaluations](#) or [How to Conduct an Employee Evaluation](#)

There are several free MS Word or Adobe PDF templates that can be downloaded and modified for a provider's use. Here's a link to a sample:

[Free Employee Evaluation Form – PDF](#)

[Additional references: 89 IL Administrative Code 509.80](#)

Overview of Time and Effort – What does this mean?

Grantees are required to report Time and Effort (T&E) for personnel services. Any charges for salaries and wages to federal grant awards must be documented through T&E Reports.

Compensation is paid based on estimates of T&E **before** the services are performed. T&E Reports document the **actual** percentage of all T&E expended on the grant even if some of the time is not compensated by the grant. All T&E (up to 100%) is documented on the T&E Report to indicate the full set of duties of the position.

Payroll - Charges to awards for salaries and wages, whether treated as direct costs or indirect costs, are based on documented payrolls approved by a responsible official(s) of the organization.

Personnel Activity Reports (PARs) - The distribution of salaries and wages to awards **must** be supported by personnel activity reports (also known as T&E Reports). PARs reflecting the percentage of activity of each employee **must** be maintained for **all** staff members (professional and nonprofessionals) whose compensation is charged, in whole or in part, **directly** to awards. For samples of T&E timesheets, visit:

[Time and effort reporting templates - Bing images](#)

PARs also **must** be maintained for other employees whose work involves two or more functions or activities that support the **allocation** of indirect costs, *i.e.*, an employee engaged part-time in indirect cost activities and part-time in a direct function.

PARs maintained by grantees must:

- Reflect an **after-the-fact** determination of the **actual** activity of each employee; budget estimates do **not** qualify as support for charges to awards
- Account for the **total activity** for which employees are compensated and is required in fulfillment of their obligations to the organization
- Be **signed** by the individual employee confirming that the distribution of activity represents a **reasonable estimate** of the **actual work** performed by the employee during the periods covered by the report
- Be signed by a supervisor responsible for having first-hand knowledge of the activities performed by the employee
- Be prepared **at least monthly** and **must** coincide with one or more pay periods

[CFR 200 section 200.430 Compensation – Personal Services](#)
[2 CFR Part 230 Subparagraph 8.m.\(1\) of Appendix B](#)

Budgeting Travel

Fiscal & Administrative Review Assistance for DHS Providers



Grant related travel may be charged to an IDHS grant in accordance to [2 CFR 200.475\(a\) General](#) and [30 ILCS 708/130 Grant Accountability and Transparency Act \(ilga.gov\)](#).

If your organization does not have a travel policy, then travel is subject to the Travel Control Board's policy as per GATA Travel Costs. For more information see the [Governor's Travel Control Board](#) or the [Higher Education Travel Control Board](#). [48 CFR 31.205-46\(a\)\(2\)\(i\)](#).

If your organization has a travel policy that sets reimbursement rates, the amount claimed cannot exceed the lower of either grantee's written policy or the Travel Control Board . . . and, under no circumstances, can any reimbursement exceed the federal rates.

Uniform Grant Agreement (UGA) Budget Mileage Reimbursement Rate

The UGA and budget are contractually binding documents. If the grantee defines a reimbursement rate in their budget that is lower than State, Federal, or internal written policy rates, the budget rate is contractually binding and no reimbursement can exceed the applicable default rate.

Note: If you use the GSA's rate for UGA budgeting, and that rate is not subject to any constraint such as a lower written policy rate, then mid-year increases or decreases in the GSA rate won't trigger a budget revision unless budgeted or actual travel costs exceed the line-item transfer threshold (10% or \$1,000, whichever is greater).

Budgeting Travel

Travel costs must be indicated in the budget including who is traveling, the cost, basis for reimbursement, quantity of the trips, and sufficient justification to explain why the grant requires travel.

For employee training, travel and meals for employees should be listed separately in the budget. Show the number of employees and unit cost involved. Identify the location of travel, if known. If unknown, state "location to be determined." Indicate the source of the travel policies used to complete the cost category or the State of Illinois Travel regulations. The travel category is for staff travel only. Remember that documentation will be required when you submit the actual travel costs for reimbursement from IDHS.

Travel for consultants should be in the budget's consultant category along with the consultant's fee. Travel for training participants, advisory committees, review panels, etc., should be itemized the same way as indicted above and placed in the "Miscellaneous" category. Transportation for clients or program participants should be placed in the "Miscellaneous" category. Be sure to provide a clear explanation on the budget narrative related to these Miscellaneous expenses.

Multiple entries may be made in the travel category of the budget. Travel costs that correspond to the personnel funded by non-State funds may be added in the "Non-State Item" section of the budget. Provide supporting information to justify charging the travel in the "Travel Narrative" text box. The travel narrative should include origin and destination, type of transportation, estimated breakdown of specific costs (if not clear from the line items), e.g., mileage; number of travelers, related lodging and per diem cost, a brief description of the travel involved, its purpose, and an explanation of how the proposed travel is necessary for successful completion of the project.

Cost Allocations

Fiscal & Administrative Review Assistance for IDHS Providers



Cost Allocation Plans

Providers often have centralized or administrative costs – such as accounting, supplies, and equipment purchases, etc. – that benefit all their programs and activities. A cost allocation plan defines how expenses that are not directly tied to one program, but benefit multiple programs or the organization at large, are allocated. A good cost allocation plan provides a clear picture for auditors of how the provider has spent the grant funds. When providers use a cost allocation plan, these central service costs are split among their programs, provides a true picture of what it costs to run a program, and helps determine what costs are reimbursable ([2 CFR 200.416\(a\)](#)).

Costs for goods or services that benefit a single source of funding (one program only) should be assigned solely to that grant. However, costs that benefit more than one funding source may be charged to each funding source based on its proportional benefit to that program. Cost allocation plans can be based on either the proportion of benefit or on the interrelation between the grants.

Proportional Benefit: Costs are assigned based on the proportion of benefit to a program.

Example: 10 boxes of paper are bought by the provider. Three boxes are to be used by (assigned to) Program A and seven are assigned to Program B. The proportional benefit would be 30% for Program A and 70% for Program B. If the total cost of these 10 boxes was \$100, then \$30 would be allocated to Program A, and \$70 to Program B.

Interrelation Benefit: Costs are assigned based on a reasonable and rational basis. *Example:* costs that are not readily identified by the benefit to a grant may be assigned according to an allocation methodology.

Best practices in allocation methodology include:

- Documenting thoroughly how it was developed
- Documenting its beginning and its end
- Documenting benefits to the grant (e.g., staffing, office space, hours of service)
- Being updated periodically to reflect either a loss or an addition of funding
- Being consistently used in similar circumstances
- Reviewing It routinely to assure that it continues to represent a reasonable distribution of costs

Costs may **not** be allocated based on:

- Adjusting costs based on available funds of an award
- Adjusting costs based on budgetary convenience
- Circumventing funding restrictions
- Offsetting costs by assigning them alternatively to one grant and then to another

[Example of a Cost Allocation Plan](#) or for more examples visit [Cost Allocation Plans](#)

During the Fiscal Administrative Review (FAR), the reviewer conducts expenditure testing to determine if costs claimed to the grant align with the grant contract and appropriate governing codes. The provider's budget gives reviewers information regarding approved expenses for the grant. Please note the following:

- Any costs for which reimbursement is claimed must be clearly identified in the approved budget or be supported with evidence that grantor agreed to the cost. Otherwise, for purposes of the FAR process, the cost is subject to potential recovery based on program administrator determination.
- Expenditures are NOT allowed for a line-item category if you did not identify expenses for that category in your approved budget.
- You MUST request a budget revision to make a "\$0 or N/A" line item on the budget eligible for cost claiming.
- Budget amendments are required to transfer costs that exceed 10% (or \$1,000) of a line-item to another line-item.
- Transfers between line-items less than 10% or \$1,000 are allowable and considered to be "discretionary". Discretionary transfers DO NOT REQUIRE a budget amendment.
- Providers should amend their budget as needed before expending any portion of the grant (*amend before you spend!*).
 - [44 Ill. Adm. Code 7000.37\(b\)\(2\)](#)
"An awardee shall not deviate from the budget, project scope, or objective stated in the Grant Agreement except with mutual agreement of the State grantmaking agency and the awardee. However, some revisions and deviations shall not be made without prior approval of the State grantmaking agency as required by subsection (b)(3)."

Supporting documentation for all expenditures must be kept by the provider per the Uniform Grant Agreement and made available to the reviewer. Each line item in the budget indicating projected expenditures requires supporting documentation. These may include, but are not limited to:

- Payroll (Payroll Vendor, General Ledger Payroll reports, etc.)
- Staff Timesheets
- Receipts
- Invoices
- Utility Bills
- Travel (Travel Approval(s), Staff Requests for Mileage Reimbursement, Per Diem Authorizations, etc.)
- Copies of Leases

The FAR reviews the budget and corroborates these expenditures against the supportive documentation for a period of either two months or a quarter; the period reviewed may be expanded to include additional months. Non-allowable expenses identified by the reviewers are potentially subject to grant funds recovery as per the Illinois Grant Funds Recovery Act (30 ILCS 705).

Grant Exclusive Line Item (GELI)

The Grant Exclusive Line Item (GELI) is a way for uniquely defined program objectives and service deliverables to be tracked separately from other grant line items in the budget. Using GELI might be appropriate for tracking and monitoring unique Federal/state statutes, regulatory – or other programmatically defined – reporting and performance requirements. The use of the GELI must be pre-authorized by the Program Administrator and authorized for use in both the Notice of Funding Opportunity (NOFO) and in the budget.

When using the GELI line, the provider's budget narrative should clearly outline how grant funds will be used, in alignment with allowable costs, to ensure program objectives and service deliverables are met.

Meaning of "Tracked Separately"

Providers are required to have processes in place to track costs claimed on the GELI. This is best achieved by treating the GELI program objective and service deliverables as its own cost center – a "budget within a budget". The provider's cost allocation methodology to the overall grant will have to account for the GELI.

How to use GELI

Providers need to have a written methodology to account for staff time claimed to the GELI with supporting documentation, such as Time and Effort reporting, showing the time each staff member spends on the program objective and how it is tracked separately from the overall grant.

Example: If a staff person works in Better Birth Outcome (BBO), that has a GELI for Better Birth Outcome–Outreach Project (BBO-Outreach), then time and effort reporting requires coding that shows the amount of time the staff person spent doing BBO-Outreach. This time, coded to BBO-Outreach, can then be claimed on the GELI.

Fiscal Administrative Review (FAR) of Grant Exclusive Line-Item(s)

1. Reviewers use the approved GATA budget schedule and related narrative to understand why the grant exclusive line item was used. Clearly written budget narratives are very important.
2. Based on parameters set forth in the budget and related narrative, reviewers test supporting documentation for claimed costs.
3. Reviewers look for non-authorized claims to the GELI. A provider may not fully understand the purpose of the GELI and may be using it as a catch-all line-item.

Please note: Allowable costs should be specifically identified in the budget quantitatively and qualitatively. Providers should make sure the GELI budget narrative and projected costs are clearly defined. Any deviation from allowed costs would be a finding during the FAR process.

INVENTORY MANAGEMENT

- The Local agency must tag all equipment, valued at \$100 or greater at the time of purchase, with a unique identification number
- An inventory must be maintained of all tagged items purchased in full or partially with program funds. The inventory must include:
 - Tag number/Inventory Number
 - Item description
 - Model Number/Serial Number
 - Date of Purchase
 - Unit Cost
 - Location
- Agencies using a blended inventory of all items must have a method to clearly indicate items purchased with program funds

GUIDELINES FOR DISPOSAL OF PROGRAM EQUIPMENT



- To dispose of equipment purchased with Program funds:
 - If the item is on a depreciation schedule, and the time frame of depreciation has not elapsed, the local agency must submit a request in writing, on agency letterhead, to the Department at DHS.BMCHEDF@illinois.gov which includes:
 - Item description
 - Date of purchase
 - Unit cost (if available)
 - Justification for disposal
 - Specification of which program(s) item is allocated to
 - Copied to Regional Nurse Consultant
 - Email Subject should read as follows: Agency Name - Disposal of Program Equipment
- If the request is approved, a letter will be sent granting approval to dispose of the equipment. The letter must be kept on file with the inventory records.
- Computer equipment approved for disposal must have all client information erased prior to disposal

Scavenger Hunt

Where do you find the Cornerstone Manual?



When was the BMCH Policy & Procedure Manual last updated?



Where do you send staff to register for trainings?



How do you contact your RNC and who is the Bureau Chief?



Scavenger Hunt



CORNERSTONE: USER
MANUAL, V.
14.5

A photograph of two women wearing pink shirts. One woman is smiling and looking towards the other woman.

BMCH Policy and
Procedure Manual

A photograph of a woman with dark hair wearing a bright green turtleneck sweater, sitting at a desk and reading a book.

Community
Health Training
Center

A photograph of a woman with curly hair wearing an orange sweater, sitting and reading a book.

Email/phone
Natalie Bullock

A photograph of a woman reading a book to a young child.

CORNERSTONE
ADMINISTRATIVE
COURSE



Cornerstone



Request Cornerstone
Access



AD30
9.23 CS Manual



Right
VS
Wrong



How to add a New Employee



AD30

Cornerstone 15.2

F1=Help F3=Return F4=Save F5=Add F6=Edit F7=Delete F11=Next F12=Cancel TextEdit

JONES, LISA 300006 CORNERSTONE 01/18/2023

AD30 - SECURITY ACCESS REQUEST

CORNERSTONE SECURITY ACCESS REQUEST

Current Cornerstone ID (if any for existing employee):

Last Name: First Name: MI:

Start Date(for new employee): / /

Add New Employee Change, using Employee's existing Cornerstone ID

Title: Supervisor's ID:

No Citrix Access Needed **Should employee be given Admin rights?**

Additional Site(s) where access is requested:

Program Access:

Entered by:

Telephone Number: Ext:

AD32

Security Coordinator Training

The screenshot shows a software window titled "Cornerstone 13.1" with a menu bar (F1=Help, F3=Return, F4=Save, F5=Add, F6=Edit, F7=Delete, F11=Next, F12=Cancel, TextEdit) and a status bar (CSTONE, DEMO, 043002, CORNERSTONE, 10/09/2013). The main content area is titled "AD32 - Security Coordinator Training" and contains four tabs: "DUTIES AND RESPONSIBILITIES", "INFORMATION DISPOSAL", "INCIDENT REPORTING", and "CERTIFICATION". The "DUTIES AND RESPONSIBILITIES" tab is active, showing a list of duties for the Security Coordinator. At the bottom of the window, there is a checkbox labeled "I have read and understand the information on this page".

AD32 - Security Coordinator Training

DUTIES AND RESPONSIBILITIES | INFORMATION DISPOSAL | INCIDENT REPORTING | CERTIFICATION

DUTIES AND RESPONSIBILITY

The Security Coordinator's duties:

- * Coordinate system access for staff and determine appropriate access levels.
- * Ensure that State-owned equipment and resources are secure and equipment is accounted for by conducting an annual inventory.
- * Report security incidents to the Cornerstone Service Desk immediately.
- * Ensure continued operations during system disruption.
- * Ensure new Cornerstone Users complete initial security training to gain access to the system and for all other users, annual security training requirements are met.
- * Underscore and review confidentiality policies.
- * Establish reasonable use policies (e.g. games, pornography).
- * Discuss password & ID sharing.

If it is determined that a User's access should be revoked it should be done as soon as possible after separation from the agency, disciplinary action, or change of business need. If the Cornerstone Security Coordinator cannot complete this task they are still responsible for ensuring it is done in a timely fashion.

I have read and understand the information on this page

AD15

- The Employee Information (AD15) screen is used to establish the Cornerstone user ID for all users of the Cornerstone system. New employee information is added to the Cornerstone system using the Security Access Request Screen (AD30). Once added, the site supervisor can edit information on the (AD15) screen as needed.
- The (AD15) is no longer used to reactivate a terminated employee (see AD30).
- This screen must be completed for all employees who will be using the Cornerstone system

How to change Case Managers rights in CS

1

Go to Admin

2

**Choose
Employee**

3

**Choose Security
Screen (AD16)**

4

**Select Y & N for
which screen you
want employee
to have access to**

AD16 Screen

*RNC has access to screen as well

Cornerstone 15.2
 F1=Help F3=Return F4=Save F5=Add F6=Edit F7=Delete F11=Next F12=Cancel TextEdit

HARRISON, MELISSA 300006 CORNERSTONE 01/18/2023

AD16 - SECURITY SCREEN

Empl ID: 300006060 Last Name HARRISON First Name MELISSA MI
 Clinic: 300006 TRAINING CENTER - Title:

Not Assigned Screens	Current Screens Security	ADD	UPD	DEL
AD00-AUTOMATED EOD-BOD	AD00-AUTOMATED EOD-BOD	*	Y	*
AD01-PROVIDER MAINTENANCE	AD01-PROVIDER MAINTENANCE	Y	Y	*
AD02-PROVIDER SERVICE MAINTENANCE	AD02-PROVIDER SERVICE MAINTENANCE	Y	*	Y
AD04-CLINIC SCHEDULES	AD04-CLINIC SCHEDULES	Y	Y	Y
AD11-END OF DAY PROCESSING	AD11-END OF DAY PROCESSING	*	Y	*
AD12-REINDEX TABLES	AD12-REINDEX TABLES	*	Y	*
AD13-FOLLOW-UP REPORTS	AD13-FOLLOW-UP REPORTS	*	*	*
AD14-CLINIC ADMINISTRATIVE DATA	AD14-CLINIC ADMINISTRATIVE DATA	*	Y	*
AD15-EMPLOYEE INFORMATION	AD15-EMPLOYEE INFORMATION	Y	Y	*
AD16-EMPLOYEE SCREEN SECURITY	AD16-EMPLOYEE SCREEN SECURITY	Y	N	*
AD17-CASELOAD REASSIGNMENT	AD17-CASELOAD REASSIGNMENT	*	Y	*
AD18-GENERATE MEDICAID BILLING	AD18-GENERATE MEDICAID BILLING	*	*	*
AD19-SCHEDULE CLOSED DATES	AD19-SCHEDULE CLOSED DATES	Y	Y	Y
AD22-EMPLOYEE EXPENSES	AD22-EMPLOYEE EXPENSES	Y	Y	*
AD23-CLINIC OPERATING EXPENSES	AD23-CLINIC OPERATING EXPENSES	Y	Y	*
AD26-RESET LOGIN FLAG	AD26-RESET LOGIN FLAG	*	*	*

* Y *

Move Move All Default Remove Remove All

*If "N" is assigned, they only have read only access.

The Caseload Reassignment (AD17) screen is used by an administrator (supervisor) to assign unassigned participants who have a program record in Case Management to an appropriate case manager. All participants must be assigned to a case manager.

From the AD17 screen enter
999999999 into the from field

Enter CM

Enter the employee ID

F6 to edit

Select participant from list & save

AD17 - CASELOAD REASSIGNMENT

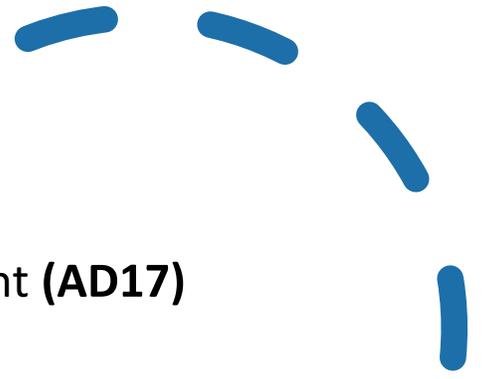
Employee	Name	Title	Cases	Prog
From: 300006001	CORNERSTONE, DEMO 1	DEMO	11	CM
To: 300006020	CORNERSTONE, DEMO 20	DEMO	2	

From Employee Case Assignments: CORNERSTONE, DEMO 1 All

A53623A9413800	ANDERSON, CODY	M	08/15/2022	CM			▲
A53624L0392600	ANDERSON, COLLETTE	F	11/16/2003	CM			
H25222L0492800	HIGGINS, KEISHA	F	11/18/2004	CM			
H25225D2279900	HIGGINS, KENYA	F	07/13/2022	CM			
L25216L9562500	LOGGINS, BRITTANY	F	08/01/2022	CM			
M32416M9562500	MITCHELL, BRITTANY	F	08/01/2022	CM			
Q25062Q9236000	QUICKEN, RASHAD	M	11/15/2021	CM			
R63516R9562500	RARDON, BRITTANY	F	08/01/2022	CM			▼

To Employee Case Assignments: CORNERSTONE, DEMO 20 None

F26016F9562500	FESSER, BRITTANY	F	08/01/2022	CM			▲
M60062M9236000	MEAR, RASHAD	M	11/15/2021	CM			



Caseload Reassignment (AD17)

- Go to Admin
- Then Employee
- Then to Caseload Reassignment (AD17)

- Put in first employee ID
- Then Input the ID that the client is moving to
- It will then list both of their clients
- F6 to Edit

- Click and highlight name and press enter.
- Then Press F4 to save.

Things to consider when assigning a case manager

Does the participant already have another family member assigned to them?

Language Barrier

Acuity

Experience of the CM

Best practice would be to assign case managers to certain areas consistently to decrease travel time.

Security Requirements :

Cornerstone

**Annual training
(AD32) Security
Coordinator
Training**

**Updating CM list
in CS**

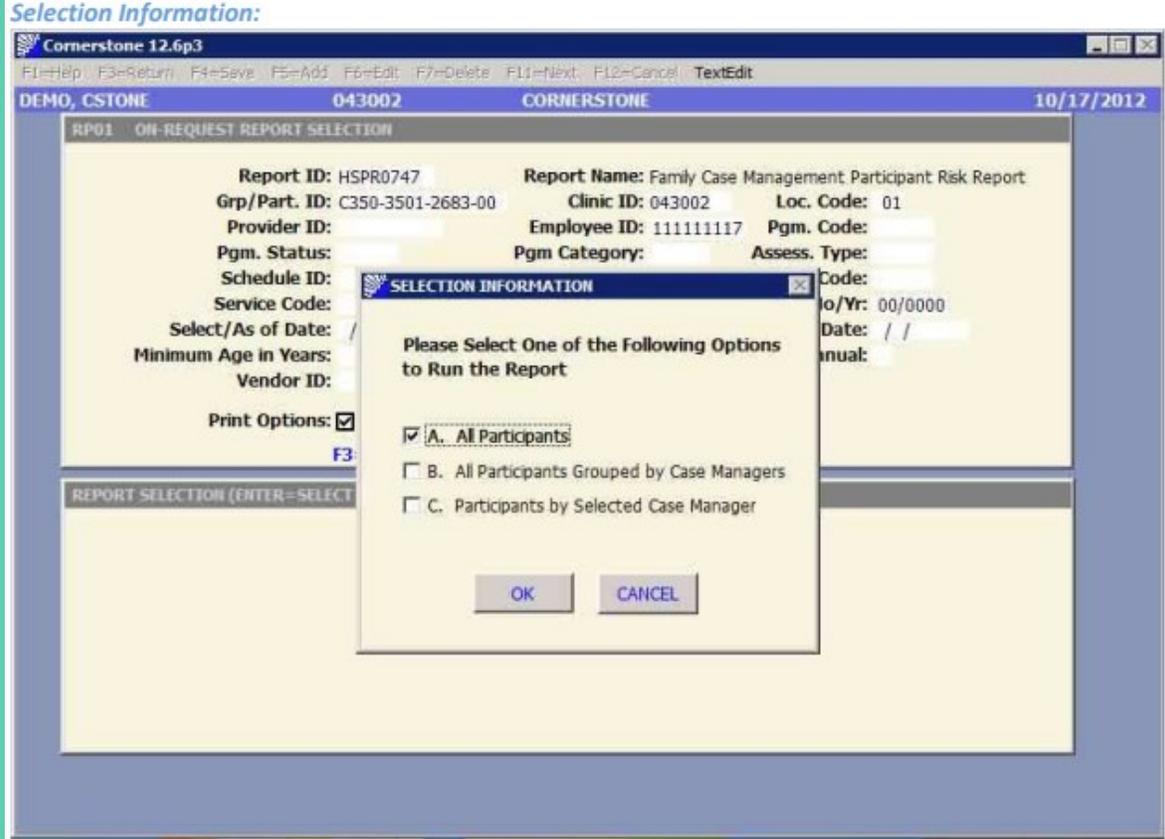
**Submit the
updated list to
DHS annually**

REPORTS



HSPR0747: Participant Risk Report

- Report will only show those active clients that have had either the 711 or 712 assessment completed
- Does not show terminated clients
- Good overview of caseloads
- 1st page is the unassigned list and should regularly be reviewed to ensure clients are assigned
- Participants will auto-term if the 711 or 712 is not completed



Editable Fields

Field Name	Format	Type	Required
Report ID	XXXXXXXX	Alpha/Numeric	Mandatory
Loc. Code	99	Code	Optional
Employee ID	XXXXXXXXXX	Numeric	Optional
Selection Information:			
Please Select One of the Following Options to Run the Report	Checkbox	Choice	Mandatory

Frequency

The Family Case Management Participant Risk (HSPR0747) report is run as needed or required.

WHY IS THIS IMPORTANT???

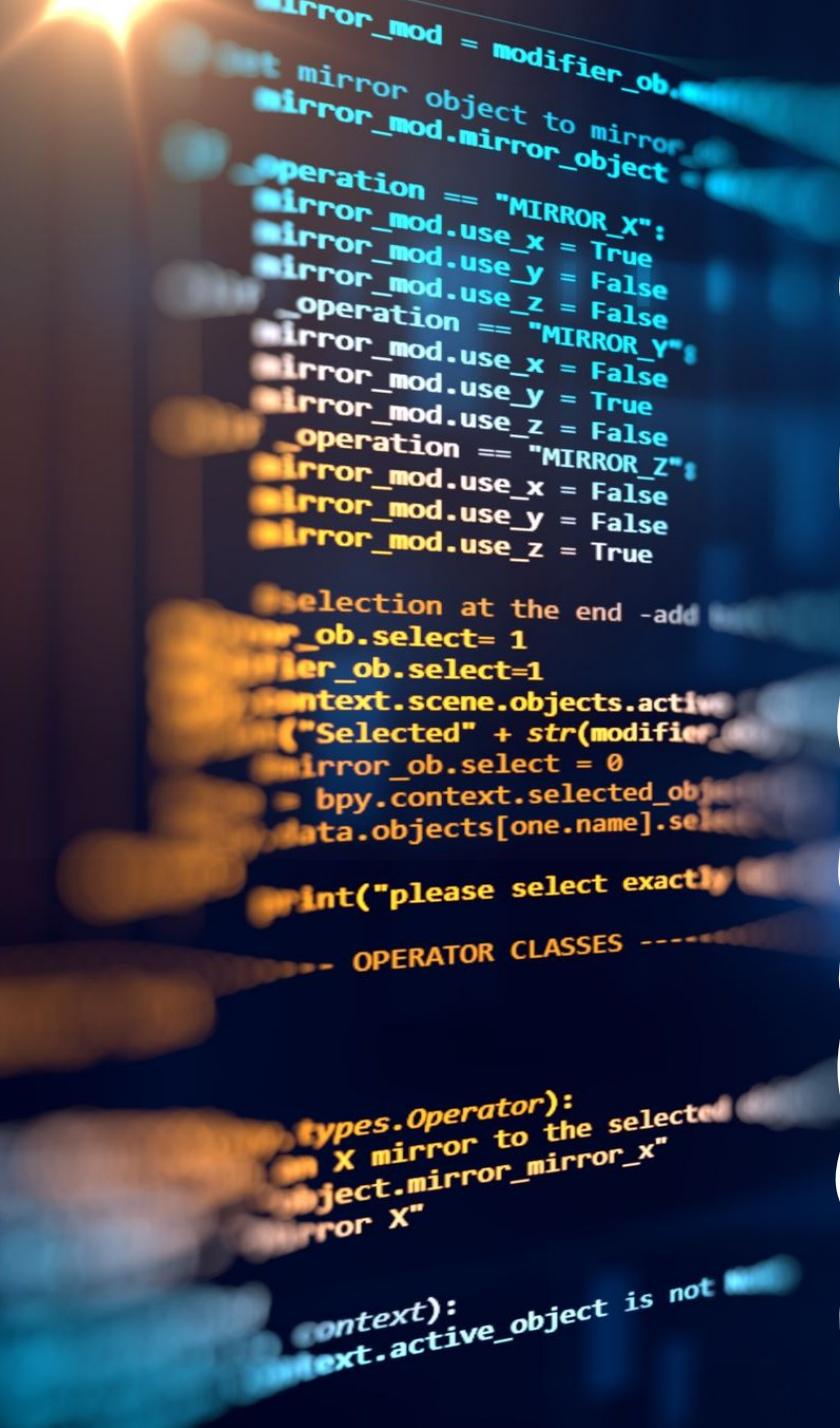
HSPRO705

- The Participants with Expected Delivery Date This Month (HSPRO705) report creates a list of participants whose EDC dates fall in the month specified.
- This report can assist case managers in preparing their pregnant participants (and their newborns) for delivery
- The report runs both automatically and manually.



HSPR0707

- Infants who will turn 1 year old this month report creates a list of all infant who will turn one year of age within the requested month
- Runs automatically during end-of-day on the last day of the month or may be ran as needed on the RP01



HSPR0604 AD HOC Mailing Register Report

- Produces a list of participants who match the criteria entered on the RP02 screen
- Based on parameters specified; code, program status, program category
- Can be used regardless if 711/712 was completed
- May be used for audit purposes, tracking materials mailed to participants

Screen Layout

Select an Option You Would Like to Print:

The screenshot shows the Cornerstone 12.6p3 application window. The title bar includes the text 'Cornerstone 12.6p3' and a menu bar with 'File=Help', 'F3=Return', 'F4=Save', 'F5=Add', 'F6=Edit', 'F7=Delete', 'F11=Next', 'F12=Cancel', and 'TextEdit'. The main window has a header with 'DEMO, CSTONE', '043002', 'CORNERSTONE', and '10/17/20'. The main content area is titled 'RP02 - REPORT SELECTION (Mailing)'. It contains the following fields:

- Report ID: HSPR0605
- Possible Prelims: Y
- APORS:
- Grp/Part. ID: C350-3501-2683-00
- Provider ID
- County Code
- Zip Code
- Report Name: Upcoming Terminations
- Pgm. Code: WIC
- Pgm. Status:
- Pgm. Category: 1
- Print Op

A dialog box titled 'Select an option you would like to print' is overlaid on the main window. It contains two radio buttons: 'Labels' (which is selected) and 'Report'. At the bottom of the dialog box are 'OK' and 'Cancel' buttons.

Editable Fields

Field Name	Format	Type	Required
Report ID	XXXXXXXX	Alpha/Numeric	Mandatory
Possible Prelims	X	Choice	Optional
Loc. Code (if defined)	99	Code	Optional
Date Range	99/99/9999	Date	Mandatory
Select an Option You Would Like to Print:			
Labels/Reports	Radio Button	Choice	Mandatory

Case Findings

- Case Findings Report- Run on Mondays
- Can be printed out monthly as well
- Choose the clients with category codes of **P, I, or D.**

How to run it?

- What are acceptable forms of contact?
- What needs to be documented?
- SV02=110 case Findings



11.82 CASE FINDING LIST (HSPR0724)

Overview

- The Case Finding List (HSPR0724) report lists all participants who have a case management program record with a status of 'N – New Medicaid Recipient' and a program status date within the date range specified. DCFS wards will be included on the report.
- The sort order on this report groups the participants by Medicaid Case ID. This means the infants and guardians will be printed together. Participants are no longer displayed on the report once they have been activated in case management [as documented on the [Activity Entry \(SV02\)](#) screen].
- The report runs automatically as well as manually.

Details

Sort By:

After pressing <F9> to run the report, users are prompted to select a sort choice. The report can be sorted by "Last Name, First Name" or by "Group Number / Case ID." Select an option and press the "OK" button or press the "Cancel" button to run the report without a selection.

Screen Layout

Sort By:

Cornerstone 14.1

F1=Help F3=Return F4=Save F5=Add F6=Edit F7=Delete F11=Next F12=Cancel TextEdit

USER, CORNERSTONE 999999 CORNERSTONE 10/23/2017

RP01 ON-REQUEST REPORT SELECTION

Report ID: HSPR0724 Report Name: Case Finding List

Grp/Part. ID: - - - Clinic ID: 999999 Loc. Code:

Provider ID: Employee ID: 8051962 Pgm. Code:

Pgm. Sta

Schedul

Service C

Select/As of D

Minimum Age in Ye

Vendor

Print Opti

00/0000

0/20/2017

SORT BY

Last Name, First Name

Case ID

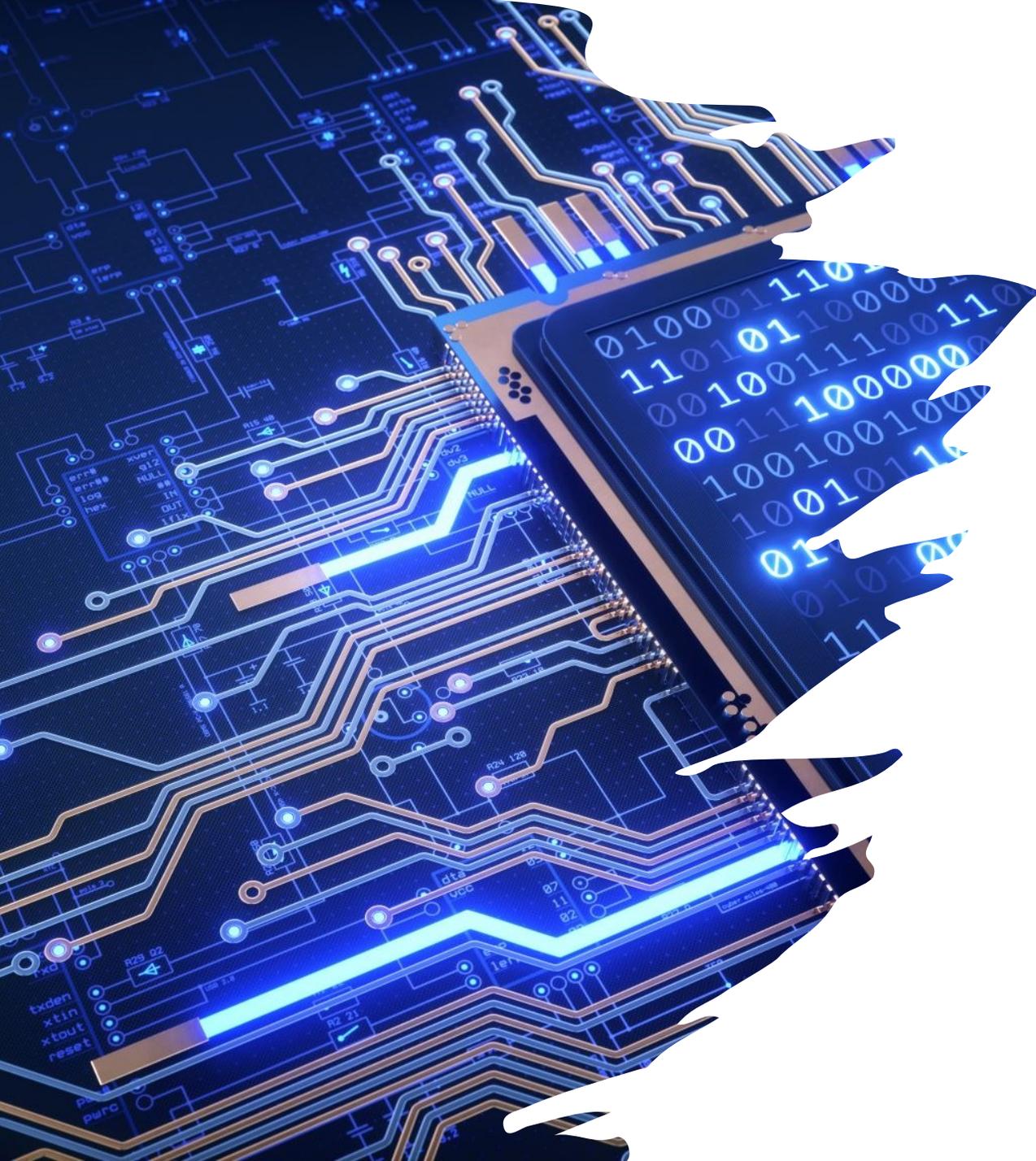
OK Cancel

REPORT SELECTION (EP

HSPR0724 Case Findings



Beginning &
End of day
Process (AD10)
& (AD11)



BEGINNING OF DAY PROCESS (AD10) Is the process of updating all the local files with the information from the central office

END OF DAY PROCESS (AD11) Is used to manually execute the End of Day (EOD) process that updates Central Office files with information from the local site.

****MAKE SURE ALL STAFF MEMBERS ARE LOGGED OUT OF THE CORNERSTONE SYSTEM SO END OF DAY CAN RUN****

Staff can possibly be kicked off but that does not always work.....Why is this important??

Report will not run and therefore transfers and records will not be transferred if the report is not run. Could potentially cause someone to auto-term, this will cause issues in completing the final steps of the transfer process.

Auto Termination

Termination Reasons:

- **Code 70: Auto term-no Recent activity**
Has not had a recent activity with proper contact type.
- **Code 16: Automated Termination**
For all other auto term reasons.

Cornerstone PA15

This screen must be completed for each program that the participant is in.



This is also used for other processes such as transfers, terminations and re-certifications.



This screen can be used to change participants status from active to inactive.



Make participant active in FCM then when appropriate make them active in either APORS or HRIF.



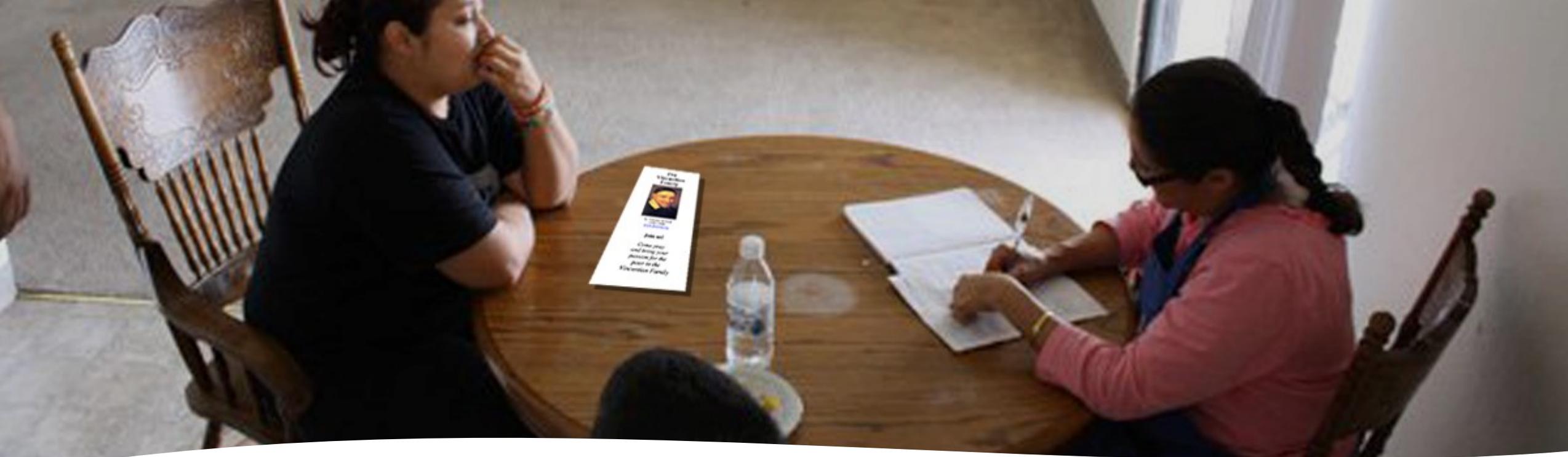
Once they are active, you can't back date in the activity entry.

- **Case Management Assignment History (CM01):**

Used to view a specific participant's previously and currently assigned case manager for each program. Coordinators need to make sure their CMs are adding an “end to prevent extra-long lists

- **Caseload Inquiry (CM05)** screen: It is recommended that Coordinators have staff regularly look at the CM05 because this lists the CM’s entire caseload (i.e., active, terminated, income eligible) so the CMs can keep an eye on their caseload (and have some accountability for their caseload). If they see a client has terminated, they can reactivate asap after a successful contact.





Compliance for Contact code “04”

Failed Home Visit **“04”** will keep client active but will not count as compliance to the performance expectations.

Emails

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- Missy Harrison RN
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Community Health Training Center

Any Questions?